

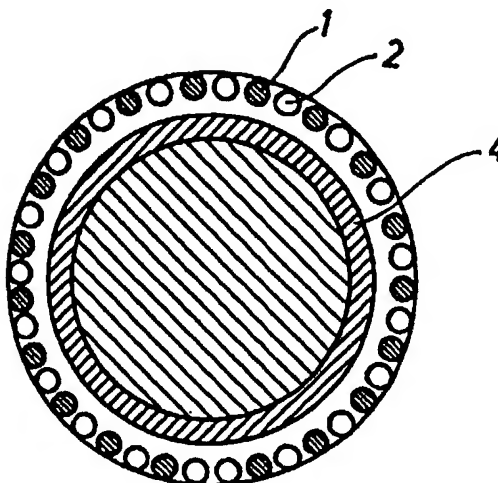


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/DK00/00018 <b>(22) International Filing Date:</b> 18 January 2000 (18.01.00) <b>(30) Priority Data:</b> PA 1999 00067 20 January 1999 (20.01.99) DK <b>(71) Applicant (for all designated States except US):</b> NKT RE- SEARCH CENTER A/S [DK/DK]; Priorparken, 878, DK-2605 Brøndby (DK). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> WINTHER-JENSEN, Bjørn [DK/DK]; Hornemannsgade 17, DK-2100 Copen- hagen Ø (DK). GLEJBØL, Kristian [DK/DK]; Fiskerhusene 8, DK-2620 Albertslund (DK). <b>(74) Agent:</b> CHAS.HUDE A/S; H.C. Andersens Boulevard 33, DK-1780 Copenhagen V (DK).		<b>(81) Designated States:</b> AE, AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), DM, EE, EE (Utility model), ES, FI, FI (Utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>

**(54) Title:** METHOD FOR THE EXCITATION OF A PLASMA AND A USE OF THE METHOD**(57) Abstract**

A method for the excitation of a plasma in which a gas is subjected to an electric field by means of a plurality of electrode systems. According to the invention at least two separate electrode systems (1,2) are used which are power supplied from separate generators with the same frequency, the voltage variations of the generators being shifted in phase relative to each other such that a voltage zero never occurs at the same time in two of the electrode systems, a kind of rest period also occurring, in which there is no significant potential difference between the phases. As a result a pulsating plasma is obtained, as the plasma is generated by the potential difference between the phases. When there is no significant potential difference between the phases, an added substance may interact with its own functionality.



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Title: Method for the excitation of a plasma and a use of the method.

### Technical Field

The invention relates to a method for the excitation of a plasma in which a gas is subjected to an electric field by means of a plurality of electrode systems.

### 5 Background Art

It is often advantageous to use a low-frequency alternating voltage instead of DC voltage for generating a plasma in order to prevent the formation of constant sparks between the electrodes. In this connection low-frequency signifies frequencies above 2 Hz, but below 10 Hz. One of the advantages of using low-frequency alternating  
10 voltage is that an impedance matching between the generator and the plasma is not required. Another advantage of using low-frequency alternating voltage is that reactive losses in power supply lines, feed-throughs and the like can be ignored, which simplifies the equipment design significantly.

The known systems use an electrode or an electrode system supplied by one  
15 alternating voltage. However this configuration only render few possibilities for adjusting the intensity and homogeneity of the plasma to the particular need.

Furthermore it is known from EP 0831679 to discharge the voltages for generating the plasma from one phase by means of a power portion, the individual portions of the power being substantially equally shifted in phase relative to each other.

### 20 Brief Description of the Invention

The object of the invention is to show how to provide AC electrode systems which are able to meet several different requirements of intensity and homogeneity in the

generated plasma.

A method of the above type is according to the invention characterised in that at least two separate electrode systems are used which are power supplied from separate generators of the same frequency, the voltage variations of the generators being  
5 shifted in phase relative to each other, preferably such that a voltage zero never occurs simultaneously in two of the electrode systems so that a kind of rest period exists in which there is no significant potential difference between the phases.

As a result a pulsating plasma is obtained, as the plasma is powered by the potential difference between the phases. In the part of the period in which no particular  
10 potential difference exist between the phases, an added substance may interact with its own functionality.

In this context generator signifies a voltage/power source, in which the phase of the alternating voltage on the output is substantially insensitive to the load caused by the plasma. A generator may be a transformer connected directly to one of the three  
15 phases of the mains and zero or between two of the three phases of the mains. A generator may also be formed of another voltage source rendering an oscillating signal as an output signal, the frequency of which being determined by a local control circuit.

By using two electrode systems a plasma with relatively low intensity is obtained in  
20 relation to a plasma with many electrode systems that are all supplied with the same voltage.

Moreover according to the invention the electrodes may be placed along a circle, the plasma being generated in the centre of the circle. As a result the plasma is generated in an inhomogeneous zone adjacent the electrodes, while the central part of the  
25 chamber is filled with a homogenous "diffusion" plasma. The conditions in the

plasma in the homogenous central part are such that reactions, which normally would not be produced in conventional plasma equipment, can be obtained, as the molecules are only broken into smaller fragments in this area. The plasma is thus "gentle" towards an added substance, such as an added monomer.

- 5 In another configuration the electrodes are placed along a cylindrical body which in turn is encased in a tube, the plasma being generated between the electrodes and the interior of the tube. During the generation of the plasma the tube is thus able to rotate slowly.

10 In a particularly advantageous embodiment the phase shift between two phase may be

$\Phi$ , where

$$0.5 < \Phi + l \cdot \pi < 2.5$$

where  $l$  is a positive integer. By selecting an asymmetrical phase shift, the intensity of the plasma may be varied during the oscillation period, eg by displacing the two  
15 phases by  $30^\circ$  such that a pulsating plasma is generated, the plasma being generated by means of the potential difference between the phases. As a result an added monomer is able to interact with its own functionality, ie to obtain a form of equilibrium in the part of the period when there is no significant potential difference between the phases.

- 20 At higher voltage amplitudes of one of the phases it is possible to perform tasks, in which for instance a special geometry of the blank implies special requirements. As an example it is often necessary in an internal plasma processing to place an electrode inside the tube or hose and to impress a higher voltage on this electrode than on the electrodes generating the rest of the plasma.

Optionally according to the invention three or more discrete electrode systems are used, which are power supplied from separate generators, at least two of the said generators being of the same frequency and used to generate an AC plasma, the voltage variations of the generators being shifted in phase relative to each other, and  
5 at least one of the electrode systems comprising at least two electrodes. The third phase may thus for instance be used for depositing a metal coating by a cathode sputtering process. Optionally this phase can also be for cleaning the surface of a blank.

The invention further relates to a use of the method according to the invention for  
10 exciting a fluorescent material.

#### Brief Description of the Drawings

The invention is explained in greater detail below with reference to the accompanying drawings, in which

Fig. 1 shows a cylindrical reactor for the excitation of a plasma by means of a  
15 plurality of electrode systems, each electrode system comprising a number of electrodes placed along the inner surface of the cylindrical reactor,

Fig. 2 shows a reactor with an electrode configuration wherein the electrodes are arranged in the centre of the cylindrical reactor, and the plasma is generated between the electrodes and the inner surface of the reactor.

20 Figs. 3 and 4 show a part of a system for generating a two-step process, in which an AC plasma is generated during a first process by means of two or more phases and the surface of a blank is cleaned during a second process, and

Fig. 5 shows a system for continuous coating of silver by cathode sputtering.

Best Mode for Carrying Out the Invention

The cylindrical reactor shown in Fig. 1 for the excitation of a plasma, wherein a gas, eg argon, helium or nitrogen, is subjected to an electric field generated by a plurality of electrode systems, said reactor comprising a plurality of electrodes arranged along the inner surface of the reactor. Having many electrodes of the same phase 1 and 2, respectively, the plasma is generated in an inhomogeneous zone adjacent the electrodes, while the central part of the reactor chamber is filled with a homogenous "diffusion" plasma. The conditions in the plasma in the homogenous central part 4 are such that reactions, which normally would not be produced in conventional plasma equipment, are obtained, as the molecules are only broken into smaller fragments in this area. The plasma is thus "gentle" towards an added substance, such as an added monomer.

In an optional embodiment shown in Fig. 2, the electrodes 1' and 2', respectively, are arranged in the centre of the cylindrical reactor and the plasma is generated substantially between the electrodes 1', 2' and the inner surface of the reactor. The shown electrode configurations are only some of the many possible symmetrical and asymmetrical applications.

The generators operating the reactors may be provided in different ways. In this context generator signifies a voltage source, in which the phase of the alternating voltage on the output is substantially insensitive to the load caused by the plasma. A generator may be a transformer connected directly to one of the three phases of the mains and zero or between two of the three phases of the mains. A generator may also be formed of another voltage source rendering an oscillating signal as an output signal, the frequency of which being determined by a local control circuit.

According to the invention two, three or more electrode systems may be used which are supplied with power from separate generators having the same frequency, but

shifted in phase relative to each other. The phase shift between two phases is  $\Phi$ , where for instance  $0.5 < \Phi + l \cdot \pi < 2.5$  and  $l$  is a positive integer. Other ratios are, however, also possible. The phase shift between the individual phases may vary. However, by choosing an asymmetrical phase shift, the intensity of the plasma may be varied during the oscillation period, eg by arranging three (out of three) phases with a phase shift of  $30^\circ$ , a pulsating plasma is obtained, the plasma being generated by the potential difference between the phases. A monomer (for instance acryl or vinyl added to the reactor) will then interact with its own functionality in the portion of the period in which there is no significant potential difference between the phases.

10 This reactor is activated by the radicals formed during the plasma period in the surface of the monomer. An example thereof is plasma polymerization of vinylpyrrolidol, where a constant plasma tends to destroy the ring structure in the monomer.

A higher voltage (amplitude) of one of the phases furthermore makes it possible to solve tasks in connection with blanks of a special geometry. During a plasma processing of the interior of thin flexible tubings it is often necessary to place an electrode inside the tubing and impress a higher voltage amplitude on this electrode than on the electrodes generating the other plasma.

It is often desired to combine plasma modification or plasma polymerization of a surface with other processings either before or after the plasma processing, eg cleaning (before) or metallisation (after).

In a particularly advantageous embodiment shown in Figs. 3 and 4 it is shown how some of these processes may be combined in one and the same vacuum chamber.

This combination of processes may be obtained by using three or more separate electrode systems power supplied from separate generators. At least two of these generators operate at the same frequency and are used to generate an AC plasma.



The voltage variations of the plasma generators are, however, shifted in phase, preferably such that a voltage zero never occurs at the same time in these two electrode systems. Each electrode system comprises n, m electrodes, where n, m is greater than or equal to 1; at least one of the electrode system must, however,  
5   comprise at least two electrodes.

By selecting few (for instance two) electrode systems a plasma with relatively low intensity is obtained in relation to a plasma with many electrode systems, when the same voltage is impressed on the electrode systems.

- 10   The combined process steps are powered by a generator which may have the same or another frequency than the plasma generators or by a DC voltage. This process step is placed in or adjacent the AC plasma such that this process step may exchange free electrons and/or ions with the AC plasma. When intensifying the combined process steps (with magnets or high voltage) a very high electron or power density  
15   may be obtained which can be used for instance for cleaning undesirable material, such as grease or oil from the surface of a blank or to power a cathode sputtering process, in which a metal or another material is to be applied to the blank from a cathode sputtering electrode. If the blank is oblong, eg in form of a cable in unrolled state, both the unwinding and the winding take place in the vacuum chamber per se.
- 20   The cylindrical vacuum chamber, of which only a disc-shaped portion is shown in Figs. 3 and 4, has typically a diameter of 55 mm and a length of 130 cm. The pressure in the chamber is preferably between 0,01 and  $10^4$  Pa.

As mentioned above, the term generator signifies a voltage source, in which the phase of the alternating voltage on the output is substantially insensitive to the load  
25   caused the plasma. In this context a generator may be a transformer connected directly to one of the three phases of the mains and zero or between two of the phases. A generator may also be formed of another voltage source rendering an

oscillating signal as an output signal, the frequency of which being determined by a local control circuit.

The plasma electrode systems used are supplied with power from separate generators, the output voltages thereof operating at the same frequency, but being shifted in phase relative to each other. The phase shift between two phases may be  $\Phi$ , where  
5 for instance  $0.5 < \Phi + l \cdot \pi < 2.5$  and  $l$  is a positive integer. Other ratios are, however, also possible. In systems comprising more than two phases, the phase shift between the separate phases may differ.

As mentioned above, by choosing an asymmetrical phase shift, the intensity of the  
10 plasma may be varied during the oscillation period, eg by phase shifting three (out of three) phases with  $30^\circ$  a pulsating plasma is obtained, the plasma being generated by the potential difference between the phases. As a result a monomer, which has been added to the reactor chamber, is able to interact with its own functionality in the part of the period in which there is no significant potential difference between the  
15 phases.

### Example 1

By combining an area containing a "soft" plasma (many electrodes per phase) with for instance two phases including one electrode having a third phase, optionally amplified by means of magnetic fields, in an adjacent area, a situation arises, in  
20 which a polymer, such as PTFE, in the "soft" area may be modified in the surface by means of an added monomer, whereafter the polymer is brought adjacent the third phase, in which a coating of metal - confer Fig. 3 - such as platinum or silver is applied by means of a cathode sputtering process (at 6), where the electrode material is the metal to be sputtered on the polymer. In this configuration it may be an  
25 advantage that the impressed voltage at the third phase (at 6) is not the same as at the other phases. It is also necessary that the electrodes are not made of the same metal,

but of metals with different sputtering rates.

### Example 2

A cleaning (at 7) of for instance a continuous carbon fibres for process facilities (eg lubricants) may be combined with plasma polymerization of a coating (eg fluorine polymer for PTFE or PVDF), confer Fig. 4.

### Example 3: Polymerization of vinylpyrrolidon.

- Plasma polymerization of vinylpyrrolidon is normally not possible without causing a ring opening of the five-member ring at the nitrogen atom, whereby the plasma-polymerised layer is provided with other properties than polyvinyl pyrrolidon prepared at conventional radical polymerization. The reason why is that other (several) processes take place in the plasma than the processes necessary for a radical polymerization, and which unfortunately destroy the monomer in an undesirable manner. Polyvinyl pyrrolidon is inter alia used for making surfaces more bio-compatible.
- 15 By allowing periods of time, in which a potential difference exists between the electrodes and whereby a plasma is generated - alternating with periods without potential difference, the monomer is (nearly only) able to perform a radical polymerization in the voltage-free periods based on the radicals generated in the periods with plasma.
- 20 The differences between the various plasma polymerisations appear from the FTIR spectra of NaCl crystals which are plasma-polymerised with vinyl pyrrolidon on the surface.

If ring opening takes place, the carbonyl peak changes from  $1706\text{ cm}^{-1}$  to  $1692\text{ cm}^{-1}$  or lower at the same time as an amine peak occurs at about  $3300\text{ cm}^{-1}$ .

The best results are obtained at a pressure of 0.15 mbar, a voltage amplitude of 160V, a phase shift of the three phases of  $30^\circ$ ,  $30^\circ$  and  $300^\circ$  and with argon as plasma gas (in addition to vinyl pyrrolidone). Optionally the best results are obtained  
5 at two phases with a shift of  $120^\circ/240^\circ$ , a voltage amplitude of 240 V and/or the same conditions as with three phases. In both cases a processing time of 60 seconds renders a complete covering of the surfaces.

Example 4: Continuous silver plating of polyethylene.

- 10 A (profiled) band (cable) of polyethylene (or another polymer such as PP, EVA, PVDF, PTFE, FEP...) is wound through a two-step processing, in which a "binder" is initially plasma-polymerised on the polymer surface, the silver being immediately subsequent thereto cathode sputtered on said binder.

The plasma for the plasma polymerization is driven by a "two-phased" plasma.

- 15 Argon and ethylcyanoacrylate are used as plasma gasses in the polymerization.

A third phase is connected to a tubular cathode sputter 14, through which the polymer band is inserted - confer Fig. 5. The cathode sputter 14 is formed of 30 mm long silver tubing 9 enclosed by four ring magnets 10 separated by PFTE discs 11.

- 20 The cathode sputter is furthermore encased by an insulating tube and a water cooler for conducting the produced heat away from the outer surface thereof.

The phase shift between the three phases is  $120^\circ$ .

At a pressure of 0.2 mbar and a voltage amplitude of 400 V between the two plasma phases and 280 V on the sputtering phase, a covering silver layer was obtained

having a good adherence at a band velocity of 3 m/min. It was subsequently possible to deposit copper on this silver layer by means of an electroplating process.

Example 5: Plasma coating of pigments with polyacrylic acid .

In order to adapt pigments (eg carbon black) to aqueous media such as paint and ink (eg for InkJet), it is desirable that the pigment surface is highly hydrophilic in order to obtain a good dispersion of the pigment in the aqueous medium.

This object is accomplished by placing 100 g of pigment in a rotating cylindrical drum, in which a set of electrodes are arranged about a cylindrical axis. The pigment is arranged in the space between the rotating drum and the electrodes. The diameter of the drum is 25 cm, and the distance between the drum and the electrodes is 5 cm, the length of the drum being 30 cm. The electrodes are connected to two power supplies having a phase shift of 120°. At a voltage amplitude of 320-450 V across the electrodes and a pressure of 0.2 mbar, a plasma of a suitable intensity is generated between the electrodes and the drum. Argon and acrylic vapour is fed to the drum in the ration 3:1, the drum rotating with five rpm for exposing the pigment to the plasma. A processing time of five minutes is required in order to coat the entire pigment surface with polymerised acrylic acid.

Example 6: Polyphase AC plasma as (flexible) light source.

A set of electrodes is arranged on the inner surface of a flexible, transparent tube. This can for instance be obtained by pultruding thin conductors (eg 12 aluminium conductors with a diameter of 0.5 mm) lengthwise of the inner surface of a silicone or a PU tube (with an inside bore of 30 mm) such that an angle of 140° of the stripped metal of the conductors abuts the interior of the tube. The electrodes are connected to two or three phases AC having a phase shift of 120°.

The inner surface of the tube is coated with one layer of fluorescent material (like a fluorescent tube) or the fluorescent material (powder) is mixed into the polymer prior to pultrusion.

The pressure in the tube is reduced to 1 mbar. The gas used may for instance be  
5 argon or atmospheric air.

At a voltage amplitude of from 120 to 280 V a plasma is generated in the tube. The plasma excites the fluorescent material such that light is emitted from the tube. The fluorescent material is chosen so as to provide the light from the tube with the desired colour.

Claims

1. A method for excitation of a plasma in which a gas is subjected to an electric field by means of a plurality of electrode systems, c h a r a c t e r i s e d in that at least two separate electrode systems are used which are power supplied from separate  
5 generators of the same frequency, the voltage variations of the generators being shifted in phase relative to each other, preferably such that a voltage zero never occurs simultaneously in two of the electrode systems so that a kind of rest period exists in which there is no significant potential difference between the phases.
2. A method according to claim 1, c h a r a c t e r i s e d in that two discrete  
10 electrode systems are used which are supplied with the same frequency from separate generators, the voltage variations of the generators being shifted in phase by about  $180^\circ$  relative to each other.
3. A method according to claim 1, c h a r a c t e r i s e d in that the electrodes may be placed along a circle, the plasma being generated in the centre of the circle.
- 15 4. A method according to claim 1, c h a r a c t e r i s e d in that the electrodes are placed along a cylindrical body which in turn is encased in a tube, the plasma being generated between the electrodes and the interior of the tube.
5. A method according to one of the claims 1-3, c h a r a c t e r i s e d in that the phase shift between two phases is  $\Phi$ , where for instance  $0.5 < \Phi + l \cdot \pi < 2.5$  and  
20  $l$  is a positive integer.
6. A method according to claim 1, c h a r a c t e r i s e d in that three or more electrode systems are used which are power supplied from separate generators, the output voltages thereof operating are of the same frequency but shifted in phase relative to each other, the phase shift being asymmetrical so that a pulsating plasma

is generated.

7. A method according to one of the claims 1-5, characterised in that a higher voltage amplitude is used at one of the phases.

8. A method according to claim 1, characterised in that three or more  
5 separate electrode systems are used, which are power supplied from separate generators, at least two of the said generators being of the same frequency and used to generate an AC plasma, the voltage variations of the generators being shifted in phase relative to each other, and at least one of the electrode systems comprising at least two electrodes.

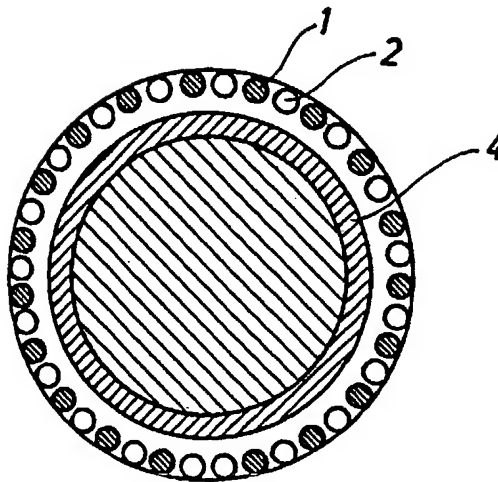
10 9. A method according to claim 8, characterised in that the third phase is used for applying a metal coating by a cathode sputtering process.

10. A method according to claim 8, characterised in that the third phase is used for cleaning the surface of a blank.

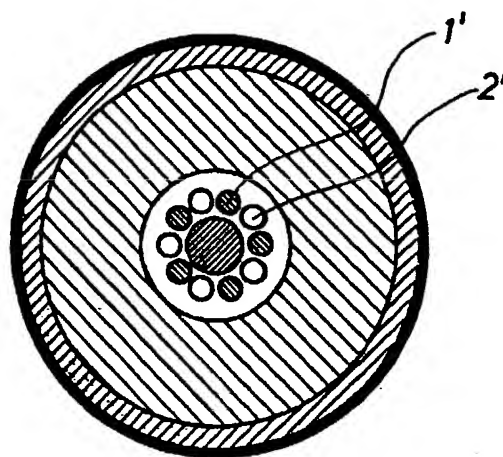
11. A use of the method according to one of the claims 1-6 for exciting a  
15 fluorescent material.



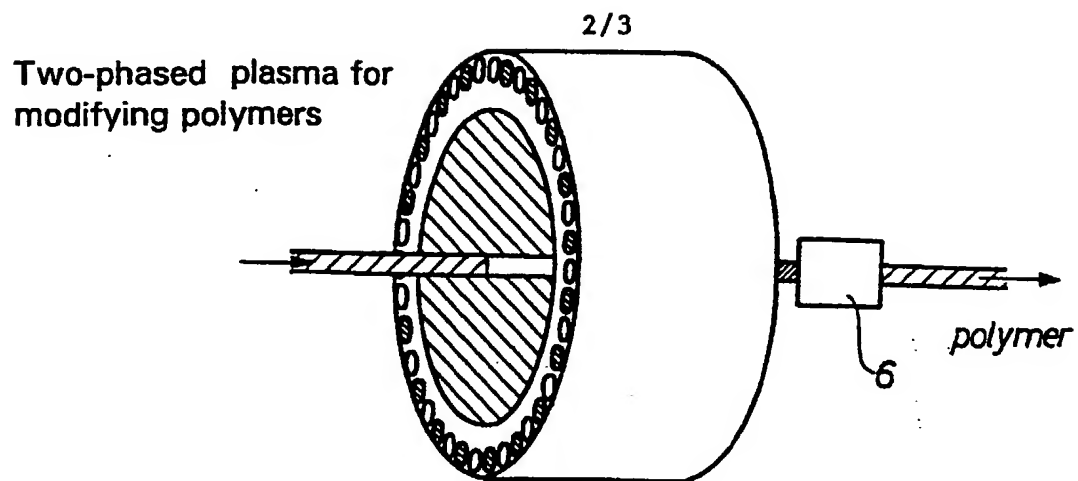
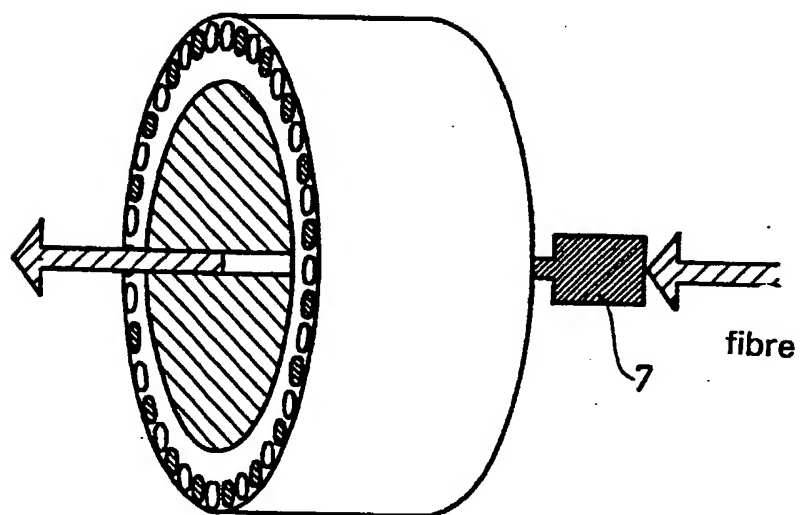
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*Fig. 1*

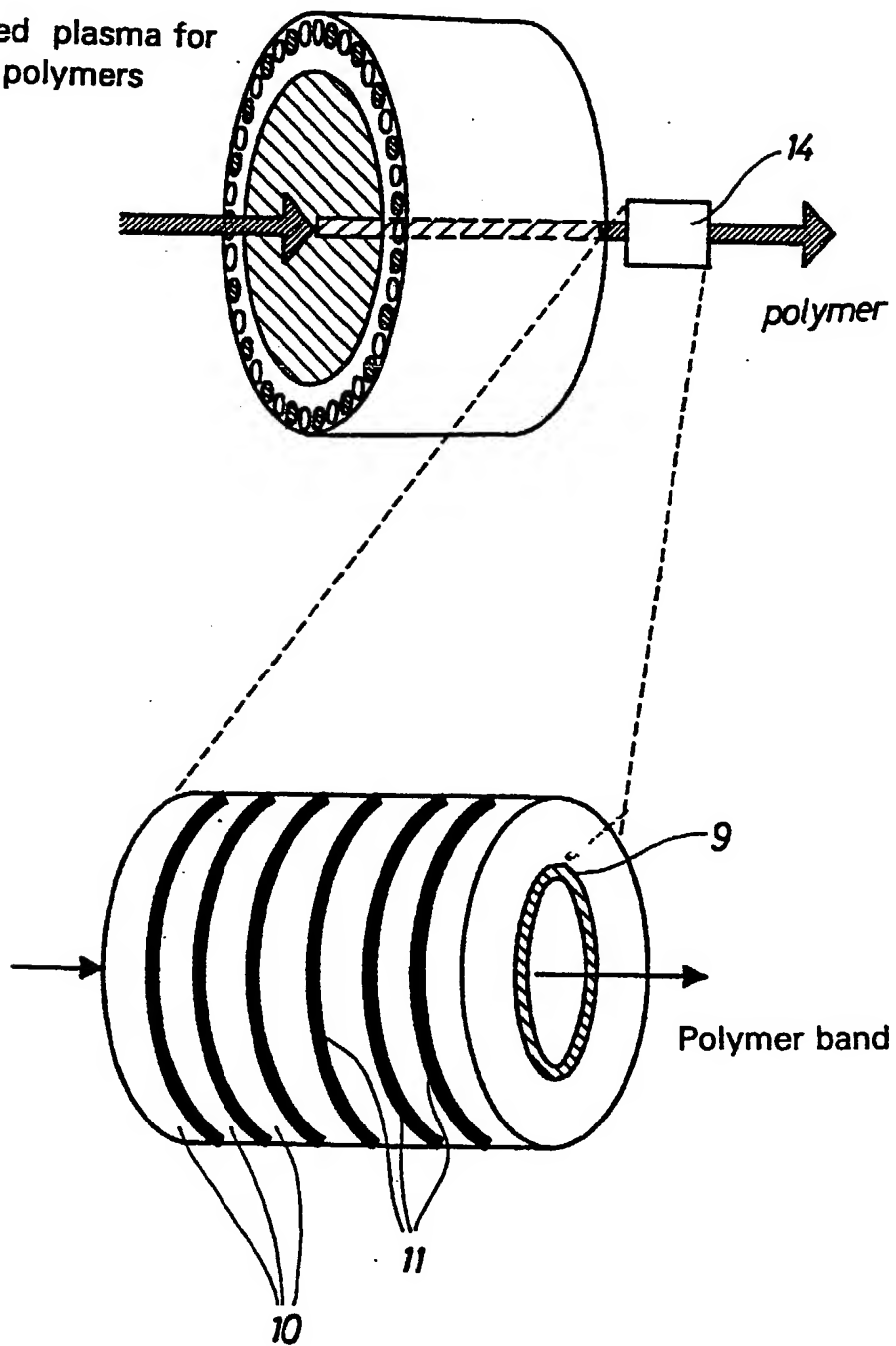


*Fig. 2*

*Fig. 3**Fig. 4*

3/3

Two-phased plasma for  
modifying polymers



**Fig 5**

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/DK 00/00018

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H05H 1/34, H01J 37/32, C08F 2/52

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: C08F, H01J, H05H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0831679 A1 (TOHOKU UNICOM CO., LTD), 25 March 1998 (25.03.98), column 4, line 16 - column 5, line 33; column 15, line 45 - line 56, abstract, fig 1,17,20 --	1-11
X	EP 0741404 A1 (NKT RESEARCH CENTER A/S), 11 June 1996 (11.06.96), page 3, line 29 - page 5, line 3, fig 1,2 --	1-11
X	US 5436424 A (NAKAYAMA, ICHIRO ET AL), 25 July 1995 (25.07.95) --	1,3,9-11
A		2,4-8

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

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Date of the actual completion of the international search

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## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4029995 A (ITOH, TSUTOMU), 14 June 1977 (14.06.77)  --	1-11
A	US 5332880 A (KUBOTA, MASAFUMI ET AL), 26 July 1994 (26.07.94)  -- -----	1-11

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

02/12/99

International application No.  
PCT/DK 00/00018

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